# **TKHR**

## THOMAS, KAYDEN, HORSTEMEYER & RISLEY, L.L.P.

ATTORNEYS AT LAW

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## FACSIMILE TRANSMISSION

August 3, 2004

[050109-1780]

TO

Examiner Alessandro V. Amari, U. S. Patent and Trademark Office

FAX: (703) 872-9306

**FROM** 

P. S. Dara

Reg. No. 52,793

TEL:

TEL: 770-933-9500, ext. 454

FAX: 770-951-0933

RE: Application No. 09/778,661

(Message) Please find attached documents for filing in the USPTO today.

Number of Pages (Including This Cover Sheet): - 9 - Page(s)
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INTERNATIONAL PATENT, TRADEMARK AND COPYRIGHT LAW AND RELATED LITIGATION

Huntsville, Alabama

Atlanta, Georgia

09/778,661

February 6, 2001

PTO/SB/17 (0
Approved for use through 07/31/2005. OMB 0651U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMME
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Application Number Filing Date

| TRANSMITTAL   |  |            | Filing Date  | February 6, 2001                                   |                       |  |  |  |  |
|---|--|------------|--|--|-----------------------|--|--|--|--|
|   | FORM   |            |  | First Named Inventor                               | Rani                  | kin et al.   |  |  |  |
|   | (to be used for all correspondence a   | after inil | tial filino)   | Art Unit   | 2872                  |  |  |  |  |
| Total Number of Pages in This Transmission          |  |            |  | Examiner Name                                      | Arnari, Alessandro V. |  |  |  |  |
|   |  |            | 9  | Attorney Docket Number                             | 1000                  | 03011-1 (050109-1780)  |  |  |  |
|   |  |            |  |  |                       |  |  |  |  |
|   |  |            | ENCLOSURES   | (Check all that apply)                             |                       |  |  |  |  |
|   | Fee Transmittal Form   |            | Drawing(s)   |  |                       | After Allowance Communication to Group                               |  |  |  |
|   | Fee Attached   |            | Licensing-r  | elated Papers                                      |                       | Appeal Communication to Board of<br>Appeals and Interferences        |  |  |  |
| $\boxtimes$   | Amendment/Reply  |            | Petition   |  |                       | Appeal Communication to Group<br>(Appeal Notice, Brief, Reply Brief) |  |  |  |
|   | After Final  |            | Petition to 0 Application  | Convert to a Provisional                           |                       | Proprietary Information  |  |  |  |
|   | Affidavits/declaration(s)  |            | Power of Attorney, Revocation Change of Correspondence Address   |  |                       | Status Letter  |  |  |  |
|   | Extension of Time Request  |            | Terminal D   |  | ☒                     | Other Enclosure(s) (Please Identify Below): Transmittal Letter for   |  |  |  |
|   | Express Abandonment Request  | ĺ          | Request for Refund   |  |                       | Response   |  |  |  |
|   | Information Disclosure Statement   |            | CD, Numbe  |  |                       |  |  |  |  |
|   | Certified Copy of Priority Document(   | s) [       | Remarks: The Commissioner is authorized to charge any fees that may be required by these papers, and to credit any overpayment to Deposit Account No. 20-0778 in the name of Thomas, Kayden, Horstemeyer & Risley LLP. |  |                       |  |  |  |  |
|   | Response to Missing Parts/<br>Incomplete Application   |            |  |  |                       |  |  |  |  |
| Response to Missing Parts under 37 CFR 1.52 or 1.53 |  |            |  | ,  |                       |  |  |  |  |
|   | SIG  | ÚTAV       | RE OF APPLICA  | ANT, ATTORNEY, OR                                  | AGEN                  | Γ  |  |  |  |
|   | idual Thomas, K  | ayder      | n, Horstemeyer (   | ß Risley, L.L.P. 100 Ga<br>. (770) 933-9500 Fax (7 | lieria I<br>70) 95    | Parkway, Suite 1750<br>1-0933 Customer No. 24504                     |  |  |  |
| Sign  | ature 25.  |            |  |  |                       |  |  |  |  |
| Date  | \$/3/¢   | 4          |  |  |                       |  |  |  |  |
|   |  |            |  | ,  |                       |  |  |  |  |
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|   | by certify that this correspondence is being<br>ge as first class mail in an envelope addres |            |  |  |                       |  |  |  |  |
| Туре  | or printed   Evelyn Sanders  |            |  |  |                       |  |  |  |  |
| Signature Euclim Sanders                            |  |            |  |  | Date                  | 08/03/04   |  |  |  |

This collection of Information is required by 37 CFR 1.5. The Information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 1.22 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450 DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Loveland, Colorado 80537-0599

#### PATENT APPLICATION

ATTORNEY DOCKET NO. 10003011-1

( ) Petition to extend time to respond

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

inventor(s): Glenn H. Rankin et al.

Serial No.: 09/778,661

Examiner: Amari, Alessandro V.

Filing Date: 02/06/2001

Group Art Unit: 2872

Title:

**OPTICAL CAVITIES FOR OPTICAL DEVICES** 

Transmitted herewith is/are the following in the above-identified application:

#### COMMISSIONER FOR PATENTS PO Box 1450 Alexandria, VA 22313-1450

Response/Amendment

## TRANSMITTAL LETTER FOR RESPONSE/AMENDMENT

#### Sir:

| Other:           |  | CLAIR   | IS AS AME              | NDED BY O                                 | THER THAN A | SMALL                   | ENTIT |             | (fee \$ | ·                        |     |
|------------------|--|---------|------------------------|---|-------------|-------------------------|-------|-------------|---------|--------------------------|-----|
| (1)<br>FOR       | (2)<br>CLAIMS REMAINING<br>AFTER AMENDMENT         |         | (3)<br>NUMBER<br>EXTRA | (4) HIGHEST NUMBER PREVIOUSLY PAID FOR 35 |             | (5)<br>PRESENT<br>EXTRA |       | (6)<br>RATE |         | (7)<br>ADDITIONA<br>FEES |     |
| TOTAL<br>CLAIMS  |  | 6       |                        |   |             | =                       | 0     | ×           | × \$18  | <b>\$</b>                | 0   |
| INDEP.<br>CLAIMS |  |         | MINUS                  |   |             |                         |       | х           |         |                          |     |
| [ ] FIR          | ] FIRST PRESENTATION OF A MULTIPLE DEPENDENT CLAIM |         |                        |   |             |                         |       | +           | \$290   | \$                       | (   |
| EXTENSION<br>FEE |  | T MONTH |                        | MONTH 3RD MON<br>20.00 \$950.00           |             |                         |       | 1 MONTH     |         | \$                       | 110 |
| OTHER FEES       |  |         |                        |   |             |                         |       |             |         | \$                       |     |
|                  | TOTAL ADDITIONAL FEE FOR THIS AMENDMENT            |         |                        |   |             |                         |       |             |         | \$                       | 110 |

Charge \$ 110 to Deposit Account 50-1078. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 50-1078 pursuant to 37 CFR 1.25. Additionally please charge any fees to Deposit Account 50-1078 under 37 CFR 1.16, 1.17, 1.19, 1.20 and 1.21. A duplicate copy of this sheet is enclosed.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.

Date of Deposit: 08/03/2004

Typed Name: Evelyn Sanders
Signature: Euclyn Sanders

Respectfully submitted,

Glenn H. Rankin et al.

ve ve

P. S. Dara

Attorney/Agent for Applicant(s)

Reg. No. **52,793** 

Date: 08/03/2004

Rev 10/09 (TransAmd)

Telephone No.: (770) 933-9500

Attach as First Page to Transmitte

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Glenn H. Rankin et al.

Group Art Unit: 2872

CENTRAL FAX CENTER AUG 0 3 2004

Serial No.:

09/778,661

OFFICIAL. Examiner: Amari, Alessandro V,

Filed: February 6, 2001

Docket No. 10003011-1

For: OPTICAL CAVITIES FOR OPTICAL DEVICES

## SECOND CLAIM AMENDMENTS AFTER ADVISORY ACTION

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

Further to Applicants facsimile transmission dated July 28, 2004 titled "Claim Amendments After Advisory action," and the telephone conversation on August 2, 2004 between Examiner Alessandro V. Amari and the undersigned representative, P. S. Dara, please enter the following amendments and consider the following remarks.

### **AUTHORIZATION TO DEBIT ACCOUNT**

It is not believed that extensions of time or fees for net addition of claims are required, beyond those which may otherwise be provided for in documents accompanying this paper. However, in the event that additional extensions of time are necessary to allow consideration of this paper, such extensions are hereby petitioned under 37 C.F.R. § 1.136(a), and any fees required therefor (including fees for net addition of claims) are hereby authorized to be charged to deposit account no. 50-1078.